

ORNL Corporate Governance

Assurance Agreement

**Department of Public Expenditure and
Reform**

&

Regulator of the National Lottery

ORNL Corporate Governance

Assurance Agreement

This Assurance Agreement between the Office of the Regulator of the National Lottery (ORNL) and the Department of Public Expenditure and Reform provides a framework for enhanced oversight and corporate governance. This Agreement meets the requirement of the Code of Practice for the Governance of State Bodies 2016 to have an Oversight Agreement and a Performance Delivery Agreement in place. It also supports the high-level strategic goal of the Department "to have public management and governance structures that are effective and responsive to the citizen, transparent and accountable, and which thereby improve the effectiveness of public expenditure"

This mutual agreement allows opportunity to exchange views, provide information and discuss emerging issues whereby:

- Both parties commit to proactive and timely communication, co-operation and information sharing,
- Both parties support the effective achievement of agreed objectives as well as the promotion of partnership, responsiveness and mutual co-operation in their ongoing interactions,
- Both parties support prompt and timely response to correspondence, information requests and related matters, and;
- Both parties commit to keep each other fully apprised and updated on all key issues.

Legal Framework of the ORNL:

An independent regulatory office established by statute, headed by a Regulator (Single Office Holder) and its role is set out in the National Lottery Act 2013 ("the Act").

Funding of the ORNL:

Funded under the licence to operate the National Lottery by levy on the Operator, in accordance with the National Lottery Act 2013.

Purpose and Responsibility of the ORNL:

To carry out the functions as set out in the Act, including (1) to procure the holding of the National Lottery in a manner most likely to ensure it is run with all due propriety, the interests of participants are protected, the long-term sustainability of the National Lottery is safeguarded and subject to the foregoing to ensure revenues allocated for good causes are as great as possible; (2) to monitor and enforce compliance by the Operator with the Act and the licence; (3) to exercise the enforcement rights of any trade mark of the National Lottery; and (4) to manage and control the National Lottery Fund.

Statutory obligations of the ORNL to the Minister for Public Expenditure, Infrastructure Public Service Reform and Digitalisation

Statutory obligations are set out in the Act, including to keep proper books of account, to submit annual accounts to the C&AG no later than 4 months following the end of the financial year. These accounts, the audit report by the C&AG and a report by the Regulator in relation to the performance of his functions in the previous year are to be presented to the Minister in accordance with Circular 7/2015, who shall cause copies of the documents to be laid before each House of the Oireachtas.

The Regulator, with the consent of the Minister, may determine grading, remuneration and conditions of service of staff. Pay and Pensions are in line with prevailing terms and conditions in the Civil and Public Sector.

Overall Governance Regime

Code of Practice for the Governance of State Bodies 2016, as applicable, together with Annex on Gender Balance, Diversity and Inclusion, subject to the legislative provisions of "the Act" with formal sanctioned derogation on non-applicable provisions (detailed below in Appendix 1) and exemptions from certain provisions the objective of which have been achieved by the adoption of a Governance Framework which defines the governance structures within the ORNL (Appendix 2).

The Regulator is obliged to comply with all relevant Departmental Circulars.

Monitoring Arrangements

The Regulator will meet with the Minister, or his officials, once per year by mutual agreement to discuss:

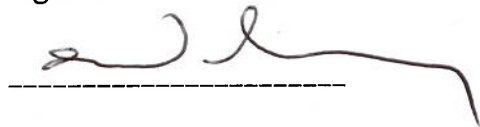
- Regulators Report, Annual Report and Financial Statements
- Governance Framework and compliance with relevant public guidelines and requirements
- The work of the ORNL and key points of interest
- Policy issues relevant to the ORNL
- Risks and challenges facing the ORNL

The ORNL will share its Strategic Plans with the Minister, in a timely manner and will publish the Strategic Plans on their website.

This Agreement covers a three-year period from May 2025 – May 2028.

Conformity with Corporate Governance Assurance Agreement

Signed:



David Moloney
Secretary General
Department of Public
Expenditure,
Infrastructure, Public Service
Reform and Digitalistaion

Signed:



Carol Boate
National Lottery Regulator

Date:

Date: 07-Nov-25